

The Oxidative Mannich Reaction Catalyzed by Dirhodium Caprolactamate

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The Mannich reaction remains a fundamentally important carbon–carbon bond forming reaction in organic synthesis.¹ Access to valuable Mannich addition products is made possible via reactive iminium ions that undergo capture with a rich assortment of nucleophiles. However, despite its well-documented scope and utility, methods for the formation of iminium ions remain limited. Strategies such as condensation or α -fragmentation often require harsh reaction conditions and/or stoichiometric metal additives (Figure 1).² The *oxidative* Mannich reaction is an attractive alternative which involves the direct catalytic C–H oxidation of a 3° amine followed by nucleophilic capture (vide infra).^{3,4} Herein we describe a mild, selective, and efficient oxidative Mannich reaction catalyzed by dirhodium caprolactamate [Rh₂(cap)₄] for the rapid construction of γ -aminoalkyl butenolides.

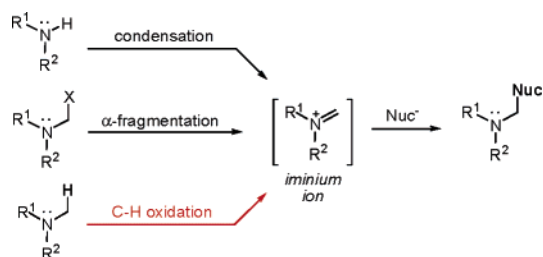
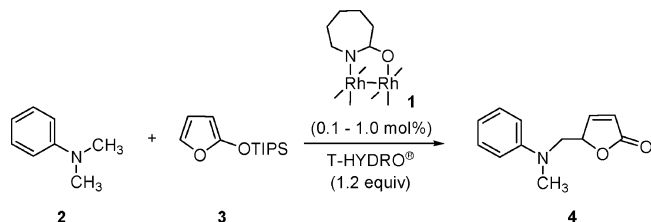


Figure 1. Iminium ion formation and nucleophilic capture.

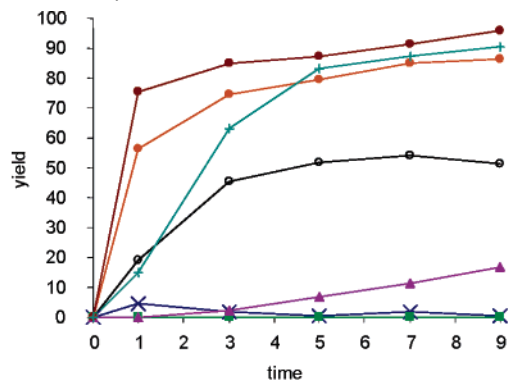
Rh₂(cap)₄ (**1**) has been shown to be an effective catalyst for allylic and benzylic oxidation in conjunction with *tert*-butyl hydroperoxide.⁵ We surmised that this technology could be extended to amines considering the oxidation potential of *N,N*-dimethylaniline **2** (relative to hydrocarbons).⁶ Inspired by the research of Martin,⁷ we chose 2-triisopropoxysilylfuran **3** to intercept the iminium ion formed in situ from catalytic C–H oxidation (Scheme 1). Siloxyfurans, which are easily prepared and bench-stable, allow the incorporation of functionally useful γ -butyrolactone moieties.⁸ As an architectural element, γ -butyrolactones are found in approximately 10% of all natural products.⁹

Scheme 1



We initiated our investigation using conditions previously developed for benzylic oxidation^{5b} (Table 1, entry 1) and found only trace amounts of Mannich product **4**. Mindful of the stabilization afforded to iminium ions by polar solvents, we examined the reaction in methanol. No Mannich reaction was observed in the presence of base additive; however, removal of NaHCO₃ from the reaction yielded **4** in 17% over 9 h (entries 2 and 3). Heating the

Table 1. Development of the Oxidative Mannich Reaction



entry	conditions ^a	key	yield (4) ^b
1	1 (1.0 mol%), CH ₂ Cl ₂ , NaHCO ₃ (50 mol%), rt	✕	--
2	1 (1.0 mol%), MeOH, NaHCO ₃ (50 mol%), rt	■	--
3	1 (1.0 mol%), MeOH, rt	▲	17
4	1 (1.0 mol%), MeOH, 60 °C	●	86
5	1 (1.0 mol%), EtOH, 60 °C	○	51
6	1 (1.0 mol%), 2 (2.0 equiv), 3 (1.0 equiv), MeOH, 60 °C	●	96 (95 ^c)
7	1 (0.1 mol%), 2 (2.0 equiv), 3 (1.0 equiv), MeOH, 60 °C	▲	90 (78 ^c)

^a Reactions were performed using **2** (1.0 equiv), **3** (1.5 equiv), T-HYDRO (1.2 equiv), and solvent (0.27 M/[substrate]) unless otherwise noted. ^b Yield was determined by ¹H NMR using an internal standard. ^c Isolated yield of the analytically pure compound after chromatography (SiO₂).

solution to 60 °C dramatically increased both rate and product yield (entry 4). Ethanol, a solvent of choice for green chemistry,¹⁰ was also suitable for the oxidative Mannich reaction albeit in moderate yield (entry 5). Final modifications to the stoichiometry of the reaction provided optimal conditions for the formation of **4** at both 1.0 and 0.1 mol % catalyst loading (entries 6 and 7, respectively). It should be noted that *all* of the reactions were performed in the presence of air using inexpensive T-HYDRO (70% *t*-BuOOH in water) with undistilled reagent-grade solvents.

The oxidative Mannich reaction catalyzed by **1** was easily extended to a diverse collection of amine substrates (Table 2). Noteworthy examples include an amine containing a proximal olefin (entry 4) as well as an aromatic aldehyde (entry 5). Unsymmetrical amines (entries 6 and 7) were α -CH₃ selective (regioisomeric α -methylene addition products were not observed). Substituted 2-siloxifurans (entries 10 and 11), including a useful 5-allyl-2-siloxifuran¹¹ (entry 12), were also viable participants in the oxidative Mannich reaction.

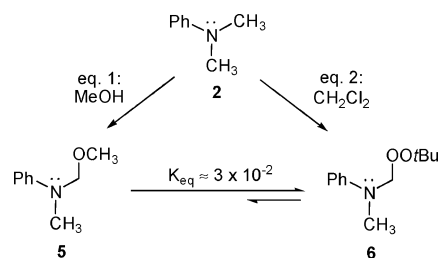
Mechanistically, we have determined that the reaction proceeds through an iminium ion generated from α -CH₃ amine oxidation followed by nucleophilic capture. In the absence of exogenous siloxifuran, the oxidation of **2** in MeOH yielded α -methoxy amine **5** (62% yield, 30 min, rt) without evidence of mixed peroxide **6** (Scheme 2, eq 1).¹² However, replacing methanol as the solvent with non-nucleophilic CH₂Cl₂ gave **6**¹³ in 60% yield under the same conditions (eq 2).¹⁴ We isolated **6** and observed an equilibrium in

Table 2. The Oxidative Mannich Reaction Catalyzed by $\text{Rh}_2(\text{cap})_4^a$

entry	amine	2-siloxyfuran	product	yield ^b
1		3		76 (79) ^c 89 (84) 78 (66)
2		3		78
3		3		57 ^d
4		3		60
5		3		50
6		3		64
7		3		53
8		3		79
9		3		89
10	2			86
11	2			72
12	2			75

^a Reaction conditions: amine (2.0 equiv), 2-siloxyfuran (1.0 equiv), $\text{Rh}_2(\text{cap})_4$ (1.0 mol %), T-HYDRO (1.2 equiv), MeOH (0.27 M/[2-siloxyfuran]), 60 °C, 3–5 h. ^b Isolated yield after chromatography. ^c Yields in parentheses were for reactions performed using 0.1 mol % of $\text{Rh}_2(\text{cap})_4$ over 16 h. ^d Reaction time 1 h.

MeOH between **5** and **6** that largely favored the mixed peroxide ($K_{\text{eq}} \approx 3 \times 10^{-2}$). From close examination of the oxidation of **2** in MeOH (eq 1), the production of **5** to the exclusion of **6** in the reaction (at low conversion) clearly indicated that **5** was produced as the kinetic product from a catalytically generated iminium ion rather than an equilibrium product from **6**. Therefore, we have ruled out the intermediacy of **6** in the dirhodium-catalyzed oxidative

Scheme 2

Mannich reaction. The intermediacy of **5** and its overall contribution toward carbon–carbon bond formation is under investigation.¹⁵

In conclusion, we have developed an oxidative Mannich reaction catalyzed by $\text{Rh}_2(\text{cap})_4$ that allows for synthesis of valuable γ -aminoalkyl butenolides from readily available amines. Efforts are currently underway to extend this technology to other nucleophiles as well as assessing the role of $\text{Rh}_2(\text{cap})_4$ in the catalytic generation of iminium ions.

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Supporting Information Available: Experimental procedures and product characterization. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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- (14) The use of CH_3NO_2 as a solvent gave nitromethane-captured product (not shown) and mixed peroxide **6**. For CH_3NO_2 capture, see ref 4a.
- (15) Nucleophilic capture of iminium ions with methanol is competitive with 2-siloxyfuran in the reaction. α -Methoxyamines are observed as side products.

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